



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/538,364	06/13/2005	Shiro Shibayama	Q88494	6855
23373	7590	10/24/2006	EXAMINER	
SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037			MERTZ, PREMA MARIA	
			ART UNIT	PAPER NUMBER
			1646	

DATE MAILED: 10/24/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	10/538,364	SHIBAYAMA ET AL.
	<b>Examiner</b>	<b>Art Unit</b>
	Prema M. Mertz	1646

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on \_\_\_\_\_.
- 2a) This action is **FINAL**.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-27,31 and 32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) \_\_\_\_\_ is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) 1-27, 31-32 are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) All    b) Some \* c) None of:
    1. Certified copies of the priority documents have been received.
    2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) Notice of Informal Patent Application
- 6) Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Election/Restriction***

1. This application is a 371 of PCT/JP03/15973. For applications filed under 371, PCT rules for lack of unity apply.
2. Restriction is required under 35 U.S.C. 121 and 372.

This application contains inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I. Claim 1, 19, is drawn to an antagonist which binds to CCR5.

Group 2. Claim 1, 19, is drawn to an agonist which binds to CCR5.

Group 3. Claims 2-4, 14-17, 20-21, 24, are drawn to an antagonist which is an agent for treating an allergic disease.

Group 4. Claims 2-4, 14-17, 20-21, 24, are drawn to an agonist which is an agent for treating an allergic disease.

Group 5. Claims 2-4, 14-17, 20-21, 24, are drawn to an antagonist which is an agent for treating an inflammatory disease.

Group 6. Claims 2-4, 14-17, 20-21, 24, are drawn to an agonist which is an agent for treating an inflammatory disease.

Group 7. Claims 2-4, 14-17, 20-21, 24, are drawn to an antagonist which is an agent for treating a cancer.

Group 8. Claims 2-4, 14-17, 20-21, 24, are drawn to an agonist which is an agent for treating a cancer.

Group 9. Claims 5-6, 22, are drawn to a method for screening a compound which binds to a strong binding site of CCR5 using a labeled ligand.

Group 10. Claims 7-8, 23, are drawn to a method for screening a compound which binds to a strong binding site of CCR5 using a labeled antibody.

Group 11. Claim 9, is drawn to a method for measuring an occupying ratio of a compound bound to CCR5 using a test compound.

Group 12. Claims 10-11, are drawn to a method for periodically monitoring an occupying ratio of a compound bound to CCR5 using labeled anti-CCR5 antibody.

Group 13. Claims 12-13, are drawn to a method for determining a dose and an administration frequency which show such an efficacy that an inhibition ratio of about 50% or 90% can be obtained by administering a compound which binds to a binding site of CCR5.

Group 14. Claims 20-21, are drawn to an agent selected by the method for screening a compound which binds to a strong binding site of CCR5 using a labeled ligand, a method for screening a compound which binds to a strong binding site of CCR5 using a labeled antibody, a method for measuring an occupying ratio of a compound bound to CCR5 using a test compound, a method for periodically monitoring an occupying ratio of a compound bound to CCR5 using labeled anti-CCR5 antibody or a method for determining a dose and an administration frequency which show such an efficacy that an inhibition ratio of about 50% or 90% can be obtained by administering a compound which binds to a binding site of CCR5.

Art Unit: 1646

Group 15. Claim 25-27, are drawn to a method for preventing a CCR5 intervening disease in a mammal by administering an agonist.

Group 16. Claim 25-27, are drawn to a method for treating a CCR5 intervening disease in a mammal by administering an agonist.

Group 17. Claim 25-27, are drawn to a method for preventing a CCR5 intervening disease in a mammal by administering an antagonist.

Group 18. Claim 25-27, are drawn to a method for treating a CCR5 intervening disease in a mammal by administering an antagonist.

Group 19. Claim 18, is drawn to a method for screening an antagonist of CCR5, which comprises administering it orally or parenterally.

Group 20. Claim 18, is drawn to a method for screening an agonist of CCR5, which comprises administering it orally or parenterally.

The inventions listed as Groups I-20 do not relate to a single general inventive concept under PCT Rule 13.1 because under PCT Rule 13.2 they lack the same or corresponding special technical feature for the following reasons:

The PCT rules define a special technical feature as a feature which defines a contribution over the prior art. The first claimed invention of Group I fails to recite such a feature, since a antagonist to CCR5 is described in WO 02/074769 (see example 75). Since the first claimed invention lacks a special technical feature, the other claimed inventions cannot share a special technical feature with the first claimed invention.

The invention of Groups 3, 5, 7, are patentably distinct from the products of Groups 4, 6, 8 because the products of Groups 3, 5, 7, can be used in methods that are materially different from the therapy of Groups 4, 6, 8, such as in the production of distinct antibodies to specific proteins and because the mechanism of action of the products of Groups 3, 5, 7, are different from the mechanism of action of the products of Groups 4, 6, 8. The methods of Groups 9-18 are distinct because each recites method steps not required by the other. Furthermore, the methods are independent and distinct, each from the other, because the methods are practiced with materially different products which are structurally and chemically different, the novelty of the inventions lying in the products being administered and not the processes. Distinctness is further shown because each of the products in each method can be made and used without any one or more of the other products.

Applicant is advised that the response to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 C.F.R 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 C.F.R. 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a diligently-filed petition under 37 C.F.R. 1.48(b) and by the fee required under 37 C.F.R. 1.17(h).

*Election of Species*

Art Unit: 1646

3. This application contains claims directed to the following patentably distinct species of diseases of the claimed invention:

If any one of Groups 3-8 are elected, Applicants are required to elect one of the following species of diseases selected from:

- (i) asthma;
- (ii) atopic dermatitis;
- (iii) nettle rash;
- (iv) allergic bronchopulmonary aspergillosis;
- (v) allergic eosinophilic gastroenteritis;
- (vi) nephritis;
- (vii) nephropathy;
- (viii) hepatitis;
- (ix) arthritis;
- (x) rheumatoid arthritis
- (xi) psoriasis;
- (xii) rhinitis;
- (xiii) conjunctivitis;
- (xiv) ischemia-reperfusion injury;
- (xv) multiple sclerosis;
- (xvi) ulcerative colitis;
- (xvii) acute respiratory distress syndrome;
- (xviii) shock accompanied by bacterial infection;

Art Unit: 1646

- (xix) diabetes mellitus;
- (xx) autoimmune disease;
- (xxi) transplanted organ rejection reaction;
- (xxii) immunosuppression;
- (xxiii) cancer metastasis;
- (xxiv) HIV infection; and
- (xxv) acquired immunodeficiency syndrome.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claims 2, 14, 16, 20, 24, are generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the

Art Unit: 1646

examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

4. The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and a product claim is subsequently found allowable, withdrawn process claims that depend from or otherwise include all the limitations of the allowable product claim will be rejoined in accordance with the provisions of MPEP § 821.04. **Process claims that depend from or otherwise include all the limitations of the patentable product will be entered as a matter of right if the amendment is presented prior to final rejection or allowance, whichever is earlier.**

Amendments submitted after final rejection are governed by 37 CFR 1.116; amendments submitted after allowance are governed by 37 CFR 1.312.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103, and 112. Until an elected product claim is found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowed product claim will not be rejoined.

See “Guidance on Treatment of Product and Process Claims in light of *In re Ochiai*, *In re Brouwer* and 35 U.S.C. § 103(b),” 1184 O.G. 86 (March 26, 1996). Additionally, in order to retain the right to rejoinder in accordance with the above policy, Applicant is advised that the process claims should be amended during prosecution either to maintain dependency on the

Art Unit: 1646

product claims or to otherwise include the limitations of the product claims. **Failure to do so may result in a loss of the right to rejoinder.**

Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01..

***Advisory Information***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Prema Mertz whose telephone number is (571) 272-0876. The examiner can normally be reached on Monday-Friday from 7:00AM to 3:30PM (Eastern time).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Nickol, can be reached on (571) 272-0835.

Official papers filed by fax should be directed to (571) 273-8300. Faxed draft or informal communications with the examiner should be directed to (571) 273-0876.

Information regarding the status of an application may be obtained from the Patent application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

*Prema Mertz*  
Prema Mertz Ph.D., J.D.  
Primary Examiner  
Art Unit 1646  
October 15, 2006